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Participants of WG1

Currently, there are 68 participants subscribed to Work Group 1. 21 participants joined since the last intermediary report. 45 participants chose WG1 as their main WG. Regarding country representation, 33 countries are present in WG1.

The dynamics of participants was a main organizational challenge in this period as new members had to be integrated and sub-group leaders informed while other participants left the group due to work constraints.

Activities and achievements since the last intermediary report

Work Group 1 is organized in 7 subgroups with different topical foci (legal frameworks, national evaluation systems, peer review, quality perceptions, scholars attitudes towards evaluation, ethical issues, bibliography). While work is undertaken in all subgroups, the outputs were focused on national evaluation systems, quality perceptions and bibliography (as part of each subgroup) in the first phase of the Action as these are serving as the basis of understanding research evaluation in the SSH. As the Action moves on, the focus on outputs shifts towards the other subgroups that also link to the insights of the outputs produced in the first phase.

Overview on national evaluation systems
In November 2016, in a joint presentation with Jack Spaapen, Michael Ochsner presented the analysis of the first round of the Delphi survey among MC-members of ENRESSH at the international conference "OpenEvaluation" in Vienna. This presentation led to a conference article on ENRESSH in general, published in the conference proceedings, and to a journal article on the valorization of SSH research in Europe comprising a detailed analysis of the results of the first round of the survey:

> Galleron, I., Ochsner, M., Spaapen, J., & Williams, G. (2017). Evaluating to valorize: The societal value of SSH research and the ENRESSH COST Action. fteval: Journal for Research and Technology Policy Evaluation, 43, 175–177.

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Galleron, I., Ochsner, M., Spaapen, J., & Williams, G. (2017). Valorizing SSH research: Towards a new approach to evaluate SSH research' value for society. fteval: Journal for Research and Technology Policy Evaluation, 44, 35–41. doi:10.22163/fteval.2017.274

After the WG meeting in Sofia in March 2017, the second round of the Delphi survey on national research evaluation systems was drafted based on the results of the first round. Fieldwork among the ENRESSH members took place between April and June. First results were presented and discussed during the WG meeting in Antwerp and then presented to the international community by Michael Ochsner, Emanuel Kulczycki and Aldis Gedutis at the conference "Research Evaluation in the SSH" at the University of Antwerp in July 2017. A first attempt at a classification was presented at the "Nordic Workshop for Bibliometrics and Research Policy" in Helsinki in November 2017.

Quality perceptions of SSH scholars and their link to evaluation
A review paper on European projects on SSH research evaluation with a focus on bottom-up procedures was published in April 2017 in Palgrave Communications. It based on a preliminary overview on bottom-up evaluation procedures in the SSH produced for the kick-off meeting.

Ochsner, M., Hug, S. E., & Galleron, I. (2017). The future of research assessment in the humanities: bottom-up assessment procedures. Palgrave Communications, 3, 17020. doi:10.1057/palcomms.2017.20

In May 2017, a first version of an overview of projects on SSH scholars' perception of research quality in the participating countries was drafted and sent for consultation among the members of WG1. An updated version was finalized and presented at the WG-meeting in Antwerp in July and published on the website in November.

Ochsner, M., Galleron, I., & Ionescu, A. (2017). List of projects on SSH scholars' perceptions of research quality in participating countries. ENRESSH Report. Version 1.4. http://enressh.eu/wp-content/uploads/2017/09/Report_Quality_Projects.pdf

In January 2017, Mišo Dokmanović from Macedonia visited Michael Ochsner at FORS, University of Lausanne, for a Short Term Scientific Mission on SSH scholars' perceptions of research quality. During the stay, a survey was prepared to investigate criteria for research quality in the social sciences and obstacles of doing research in Macedonia. The questionnaire was fielded among all Macedonian scholars in the social sciences from May to June 2017. In July, the first results were presented at the conference "Research Evaluation in the SSH" at the University of Antwerp. Mišo Dokmanović was funded a follow-up project on Brain Drain in Macedonia by ISIE and Civica Mobilitas, in which he applied the survey methodologies discussed at FORS during his STSM stay. This project included a workshop for young scholars on research quality and a roundtable on brain drain in Macedonia in October 2017. In the workshop for young scholars, Michael Ochsner presented amongst others the work from Work Group 1 to around 70 Bachelor, Master and PhD students. At the roundtable, Michael Ochsner presented the work carried out together with Mišo Dokmanović during the STSM, and Mišo Dokmanović presented the follow-up survey on brain drain. The panel also included the Dean of the law faculty of the Ss. Cyril and Methodius University Skopje and a representative of the Macedonian Ministry for Education and Science who presented the approaches of the new government to improve the research situation in Macedonia. Before the roundtable, Michael Ochsner and Mišo Dokmanović met

with the Dean of the law faculty of the Ss. Cyril and Methodius University Skopje and the Representative of the Ministry to discuss how to better evaluate SSH research and how to improve the conditions for research in Macedonia. The meeting was followed by a media conference which led to a comprehensive media coverage of the event including the daily news on TV.

Event's website: http://www.isie.org.mk/?p=1070
For the media coverage, see:
https://www.youtube.com/channel/UCRhy_Xip0ByO1Rj6VyDsw9Q/videos

In January 2018, Michael was invited for a presentation at a workshop entitled "'And how do you want to be evaluated?' A discussion on the evaluation of research performance in the humanities, social sciences and cultural studies" at the Karl Franzens University of Graz. Among others, he presented the results of the survey on quality criteria in Macedonia from the STSM and the insights on national evaluation systems from the two ENRESSH surveys.

Also in January 2018, Agnė Girkontaite visited Michael Ochsner at FORS, Lausanne for the second STSM of WG1. Agnė analysed the different sources of information where collaborators of FORS report their outputs for reporting. She interviewed some collaborators of FORS on what they actually produce and why. This information is then compared to what is visible in the report, the repository as well as in Web of Science. She will apply the same methodology at the Faculty of Philosophy at the University of Vilnius to gain insights on publication patterns and outputs in the SSH that go beyond what is visible in reports and (inter)national databases.

Bibliography
A bibliography for WG1 has been created before the WG meeting in Sofia. As a basis, the bibliography of Peric et al. (2013) was used and new items were added. Each subgroup has been collecting a bibliography regarding its topic. The bibliographies will be combined towards the end of GP2 and continuously updated.

Work in collaboration with other WGs and SIGs

Together with the Special Interest Group on Early Career Investigators, a methodology how to analyse and compare interviews conducted in different languages was developed. As the Action is highly diverse in languages, this methodology can be used in the SIG as well as in different Subgroups of WG1 that apply qualitative interviews (members of which are all present in the SIG). Pilot interviews were conducted in 16 countries and it was agreed upon continuing with the methodology at the WG meeting in Helsinki.

Work Group 3 conducted a survey on book evaluation among ENRESSH members. Jointly with the WG1 subgroup "overview of national evaluation systems", the survey was analysed and a publication will be submitted shortly.

Giménez-Toledo, E., Mañana-Rodríguez, J., Engels, T. C. E., Guns, R., Kulczycki, E., Ochsner, M., Pölönen, J., Sivertsen, G., & Zuccala, A. A. (2018). Taking scholarly books into account (II): A comparison of the role of scholarly books in evaluation in 19 European countries. *To be submitted*.

Together with Work Group 4, a standard for a joint bibliography has been established during the WG meeting in Sofia.

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